SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add Dillon Kent	•	R (1	. Date of Event equiring Stater Month/Day/Yea 5/10/2019	nent	3. Issuer Name and Ticker or Trading Symbol <u>OCCIDENTAL PETROLEUM CORP /DE/</u> [OXY]					
(Last) (First) (Middle) OCCIDENTAL PETROLEUM CORPORATION 5 GREENWAY PLAZA, SUITE 110					4. Relationship of Reporting Pers (Check all applicable) Director X Officer (give title below) Senior Vice Pres	10% Owne Other (spe below)	r cify 6. li App	 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person 		
(Street) HOUSTON								Form filed by More than One Reporting Person		
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock					105,938	D				
Common Stock					13,435 ⁽¹⁾	I by		by OPC Savings Plan		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securi Underlying Derivative Securi		4. Conversion or Exercise Price of		6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	(I) (Instr. 5)		
Employee Stor	ck Options (ri	ght to buy)	(2)	02/11/2022	Common Stock	15,000	79.98	D		

Explanation of Responses:

1. Based on a plan statement dated May 10, 2019.

2. The employee stock options (the "options") were granted to the reporting person under the issuer's 2005 Long-Term Incentive Plan on February 11, 2015. The options became exercisable in three equal annual installments beginning on February 10, 2016.

Remarks:

Exhibit List Exhibit 24 - Power of Attorney

Jenarae N. Garland, Attorneyin-Fact for Kenneth Dillon 05/17/2019

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

Know all by these presents, that the undersigned hereby constitutes and appoints each of Nicole E. Clark and Jenarae N. Garland, signing singly, the undersigned's true and lawful attorney-in-fact with full power and authority to:

- 1. Execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of Occidental Petroleum Corporation (the "Company"), Forms 3, 4 and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder; and
- 2. Do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4 and 5 and timely file such form with the United States Securities and Exchange Commission and any stock exchange or similar authority.

The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934. This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-infact.

In the event that any one of the attorneys-in-fact referenced herein ceases to hold the office of Corporate Secretary, Assistant Corporate Secretary or Assistant Secretary, this Power of Attorney will be without force or effect regarding that attorney-in-fact but will remain in full force and effect with regard to the remaining attorney-in-fact as long such attorney-in-fact is empowered as Corporate Secretary, Assistant Corporate Secretary or Assistant Secretary by the Company.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 15th day of May, 2019.

/s/ Kenneth Dillon Kenneth Dillon