FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

| Washington, | D.C. | 20549 |
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Kerrigan Sylvia J |  |  |         |                               |  | 2. Issuer Name and Ticker or Trading Symbol OCCIDENTAL PETROLEUM CORP /DE/ [ OXY ] |   |       |  |   |          |  |       |                            | k all app<br>Direc  | ,  | ng Pers  | son(s) to Is<br>10% Ov<br>Other (s | wner                                    |
|---|--|--|---------|-------------------------------|--|--|---|-------|--|---|----------|--|-------|----------------------------|---|--|--|------------------------------------|---|
| (Last)  | (Last) (First) (Middle) OCCIDENTAL PETROLEUM CORPORATION   |  |         |                               |  |  | 3. Date of Earliest Transaction (Month/Day/Year) 10/02/2023   |       |  |   |          |  |       |                            |   | below) SVP & Chief                                 |  | below)`<br>al Officer              |   |
| 5 GREENWAY PLAZA, SUITE 110                                 |  |  |         |                               | 4. If Amendment, Date of Original Filed (Month/Day/Year) |  |   |       |  |   |          | 6. Individual or Joint/Group Filing (Check Applicable Line)                      |       |                            |   |  |  |                                    |   |
| (Street)  | ON ΤΣ  | 7  | 7046    |                               |  |  |   |       |  |   |          |  |       | X                          | X Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |  |  |                                    |   |
| (City)  | (City) (State) (Zip)   |  |         |                               |  |  | Rule 10b5-1(c) Transaction Indication   |       |  |   |          |  |       |                            |   |  |  |                                    |   |
| Check this box to indice satisfy the affirmative d          |  |  |         |                               |  |  |   |       |  | ate that a transaction was made pursuant to a contract, instruction or written plan that is intended to defense conditions of Rule 10b5-1(c). See Instruction 10. |          |  |       |                            |   |  |  |                                    |   |
|   |  | Table                                      | I - Noi | n-Deriva                      | tive S   | Secu   | rities  | Acq   | uired,   | Dis   | posed of | , or E   | Benef | icially                    | y Own   | ed   |  |                                    |   |
| 1. Title of Security (Instr. 3)  2. Transac Date (Month/Da  |  |  |         |                               | Execution Date,  |  |   | Date, | 3. Transaction Code (Instr. 8)  4. Securities Acquired (A Disposed Of (D) (Instr. 3 5) |   |          | A) or 5. Amount of Securities Beneficially Owned Follo Reported                  |       | ies<br>cially<br>Following | Form:<br>(D) or   | Ownership<br>m: Direct<br>or Indirect<br>Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership            |                                    |   |
|   |  |  |         |                               |  |  |   |       | Code   | v   | Amount   | (A)<br>(D)   | or P  | rice                       | Transaction(s)<br>(Instr. 3 and 4)  |  |  |                                    | (Instr. 4)                              |
| Common  | Common Stock 10/02/20  |  |         |                               |  |  | 023   |       | F <sup>(1)</sup>   |   | 3,595    | 3,595 D  |       | 62.3                       | 80  | 80,536   |  | D                                  |   |
| Common Stock  |  |  |         |                               |  |  |   |       |  |   |          |  |       | 499(2)                     |   |  | I  | By OPC<br>Savings<br>Plan          |   |
|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |         |                               |  |  |   |       |  |   |          |  |       |                            |   |  |  |                                    |   |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)         | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | if any  | emed<br>on Date,<br>Day/Year) | 4.<br>Transaction<br>Code (Instr.<br>8)                  |  | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |       | 6. Date Exercisabl<br>Expiration Date<br>(Month/Day/Year)                              |   | te       | Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Ins<br>3 and 4) |       | De<br>Se<br>(In            | Price of<br>rivative<br>curity<br>str. 5)   |  | Ownershi<br>Form:<br>Direct (D)<br>or Indirec<br>(I) (Instr. 4 | Ownership<br>Form:                 | Beneficial<br>Ownership<br>t (Instr. 4) |
|   |  |  |         | Con                           |  | V (A) (D)  |   |       |  | Expiration<br>Date  | Title    | Amou<br>or<br>Numb<br>of<br>Share  | er    |                            |   |  |  |                                    |   |

## **Explanation of Responses:**

- 1. Represents shares of common stock withheld to satisfy tax withholding obligations upon the vesting of previously reported restricted stock units.
- 2. Based on a plan statement dated October 2, 2023.

## Remarks:

/s/ Brittany A. Smith, Attorney-in-Fact for Sylvia J. 10/04/2023 **Kerrigan** 

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.