SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] Ackerman Neil R | 2. Date of I Requiring S (Month/Day | Statement | 3. Issuer Name and Ticker or Trading Symbol <u>OCCIDENTAL PETROLEUM CORP / DE/</u> [OXY] | | | | | |
|--|---|------------|--|-------------------------------|---|--|--|--|
| (Last) (First) (Middle) OCCIDENTAL PETROLEUM CORPORATION 5 GREENWAY PLAZA, STE 110 | _ 05/05/20: | 23 | 4. Relationship of Reporting Issuer (Check all applicable) Director X Officer (give title below) Vice Presid | 10% O Other (below) | wner File | f Amendment, ed (Month/Day/ | Date of Original 'Year) | |
| (Street) HOUSTON TX 77046 (City) (State) (Zip) | | | | | (CI | neck Applicable K Form filed I Person | by One Reporting | |
| Table I - Non-Derivative Securities Beneficially Owned | | | | | | | | |
| 1. Title of Security (Instr. 4) | | | 2. Amount of Securities Beneficially Owned (Instr. 4) | | | 4. Nature of Indirect Beneficial Ownership (Instr. 5) | | |
| Common Stock | | | 52,061 | D | | | | |
| Common Stock | | | 10,317 ⁽¹⁾ | I | by (| y OPC Savings Plan | | |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | |
| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Se Underlying Derivative Sec (Instr. 4) | | 4. Conversion or Exercise Price of | cise Form: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) | |
| | Date ExercisableExpiration DateAmount or Number of Shares | | Derivative Security | or Indirect (I) (Instr. 5) | 5, | | | |
| Warrants (Right to buy) | 08/31/2020 | 08/03/2027 | Common Stock | 1,312 | 22 | D | | |

Explanation of Responses:

1. Based on a plan statement dated May 5, 2023.

Remarks:

Exhibit List Exhibit 24 - Power of Attorney

| /s/ Brittany A. Smith, | |
|---------------------------|------------|
| Attorney-in-Fact for Neil | 05/15/2023 |
| R. Ackerman | |
| ** Signature of Reporting | 5. |

eporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

Know all by these presents, that the undersigned hereby constitutes and appoints each of Nicole E. Clark and Brittany A. Smith, signing singly, the undersigned's true and lawful attorney-in-fact to:

- 1. Execute for and on behalf of the undersigned a Form ID (including amendments thereto), or any other forms prescribed by the United States Securities and Exchange Commission (the "SEC"), that may be necessary to obtain codes and passwords enabling the undersigned to make electronic filings with the SEC or the forms referenced in clause 2 below;
- 2. Execute for and on behalf of the undersigned, in the undersigned's capacity as an executive officer of Occidental Petroleum Corporation (the "Company"), Forms 3, 4 and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934, as amended (the "Exchange Act") and the rules thereunder; and
- 3. Do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4 and 5 and timely file such form with the SEC and any stock exchange or similar authority.

The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Exchange Act. This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

In the event that any one of the attorneys-in-fact referenced herein ceases to hold the office of Corporate Secretary, Assistant Corporate Secretary or Assistant Secretary, this Power of Attorney will be without force or effect regarding that attorney-in-fact but will remain in full force and effect with regard to each remaining attorney-in-fact as long as each such attorney-in-fact is empowered as Corporate Secretary, Assistant Corporate Secretary or Assistant Secretary by the Company.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 9th day of May 2023.

/s/ Neil R. Ackerman Neil R. Ackerman